

PATENT

Docket 13DV-14203

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: )  
Ching-Pang Lee et al )  
 ) Art Unit: 3746  
 )  
Application No.: 10/028/108 )  
Confirmation No: 3092 ) Examiner: Koczko, M.  
 )  
Filed: 12/20/2001 )  
 )  
Title: Ventilated Thermal )  
Barrier Coating )

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FEB 05 2003  
GROUP 3700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

- [ X ] 1. Pursuant to 37 CFR 1.97(b) - [within three months of national, non-CPA filing or prior to first Office Action] no charge
- [ ] 2. Certification Pursuant to 37 CFR 1.97(c)(1) - [before Final Office Action, Allowance, or other action closing prosecution] no charge
- [ ] 3. Fee Payment Pursuant to 37 CFR 1.97(c)(2) - [before Final Office Action, Allowance, or other action closing prosecution] \$180.00
- [ ] 4. Certification & Fee Payment Pursuant to 37 CFR 1.97(d) - [on or before issue fee payment] \$180.00

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [X] 5. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [ X ] 6. 37 CFR 1.97(b): [within three months of national, non-CPA filing or prior to first Office Action]

**CERTIFICATE OF TRANSMISSION (37 CFR 1.8a and MPEP 512)**

I her by certify that this 14-PAGE correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at Fax No. 703-872-9302 on the transmission date indicated below.

FRANCIS L. CONTE  
(Name of person transmitting paper)

(Signature of person transmitting paper)

5 Feb 2003  
(Transmission date)

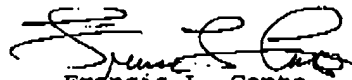
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- ☐ 7. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8. The required Certification made in item 11 below; or
- ☐ 9. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10. 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11. Certification
- ☐ 12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☐ 14. Payment of all applicable fees:

Date: 5 Feb 2003

Respectfully submitted,

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## Attachments:

Form PTO-1449;  
Listed Reference (11 pages)